



IAP15 Rec'd PCT/PTO 25 OCT 2006

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET No. 0069518-000002
		U.S. APPLICATION No. (If known) 10/533,726
INTERNATIONAL APPLICATION NO. PCT/AU2003/001451	INTERNATIONAL FILING DATE 03 November 2003	PRIORITY DATE CLAIMED 07 November 2002
TITLE OF INVENTION EPSTEIN BARR VIRUS PEPTIDE EPITOPES, POLYPEPTIDES AND DELIVERY SYSTEM THEREOF		
APPLICANT(S) FOR DO/EO/US Rajiv Khanna and Jaikumar Duraiswamy		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none">1. <input type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.4. <input type="checkbox"/> The US has been elected (Article 31).5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> has been communicated by the International Bureau.c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto.b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none">11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.13. <input type="checkbox"/> A preliminary amendment.14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.15. <input type="checkbox"/> A substitute specification.16. <input type="checkbox"/> A power of attorney and/or change of address letter.17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).20. <input checked="" type="checkbox"/> Other items or information: Copy of the Notification of Missing Requirements; Letter regarding fees		

CERTIFICATE OF MAIL UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited and transmitted to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, via first class mail with the United States Postal Service on October 20, 2006.

Typed or printed name of person signing this certificate: PATRICK H. HIGGINS

Signature: 

Buchanan Ingersoll & Rooney PC
Attorneys & Government Relations Professionals

U.S. APPLICATION NO. (If known) 10/533,726	INTERNATIONAL APPLICATION NO. AU/2003/001451	ATTORNEY'S DOCKET NO. 0069518-000002
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The following fees have been submitted:				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/>	Basic National fee (37 CFR 1.492(a)) (1631) \$ 300			\$ 300	
22. <input type="checkbox"/>	Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) (1643) \$ 0 All other situations (1633) \$ 200			\$ 0	
23. <input checked="" type="checkbox"/>	Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) (1640) \$ 0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority (1641) \$ 100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB (1642) \$ 400 All other situations (1632) \$ 500			\$ 400	
TOTAL OF 21, 22 AND 23 =				\$ 700	
<input type="checkbox"/>	Additional fee for specifications and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$ 250 (1681) for each additional 50 sheets of paper or fraction thereof.				
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
0	-100 = 0	/50 = 0	x \$ 250	\$ 0	
<input checked="" type="checkbox"/> Surcharge of \$ 130 (1617) for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	0	- 20 = 0	x \$ 50 (1615)	\$ 0	
Independent Claims	0	- 3 = 0	x \$ 200 (1614)	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$ 360 (1616)	\$ 0
TOTAL OF ABOVE CALCULATIONS =				\$ 830	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2				0	
SUBTOTAL =				\$ 830	
Processing fee of \$ 130 (1618) for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	0
TOTAL NATIONAL FEE =				\$ 830	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$ 40 per property				+	0
TOTAL FEES ENCLOSED =				\$ 830	
10/30/2006 01 FC:1617 02 FC:1206				Amount to be refunded:	\$
130.00 UP 700.00 OF				Amount to be charged:	\$

a. ☐ A check in the amount of _____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 02-4800 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

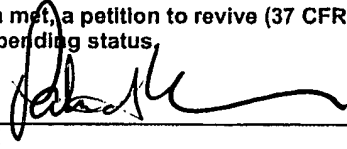
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

d. ☒ Charge \$ 930 to credit card. Form PTO-2038 is attached.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No 21839
P.O. Box 1404
Alexandria, VA 22313-1404
609 987 6800
Date: October 20, 2006

SIGNATURE 

Patrick H. Higgins
NAME

39,709
REGISTRATION No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	MAIL STOP _____
Rajiv Khanna et al.)	Group Art Unit:
Application No.: 10/533,726)	Examiner:
Filed: May 4, 2005)	Confirmation No.: 7355
For: EPSTEIN BARR VIRUS PEPTIDE)	
EPITOPES, POLYEPITOPES AND)	
DELIVERY SYSTEM THEREOF)	
)	
)	

LETTER REGARDING MISSING PARTS FEES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Notification of Missing Requirements dated July 21, 2006, requires additional claim fees of \$850.00. The summary is "\$400.00 for 2 independent claims over 3 and \$450.00 for 9 total claims over 20". On review of the original transmittal letter, it was determined that these fees were paid on filing.

However, the Basic Filing fee of \$300.00 and the Search Fee of \$400.00 were not paid. It is the applicant's determination that an additional \$700.00 is required for these fees and they are enclosed.

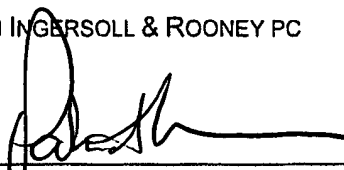
If there is any discrepancy regarding these fees, the Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 02-4800.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: October 20, 2006

By:


Patrick H. Higgins
Registration No. 39,709

P.O. Box 1404
Alexandria, VA 22313-1404
609 987 6800



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/533,726	Rajiv Khanna	25082-001

PATRICK H HIGGINS
 FOX ROTHSCHILD LLP
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 PRINCETON, NJ 08543-5231

INTERNATIONAL APPLICATION NO.	
PCT/AU03/01451	
I.A. FILING DATE	PRIORITY DATE
11/03/2003	11/07/2002

CONFIRMATION NO. 7355
 371 FORMALITIES LETTER



OC000000019698261

Date Mailed: 07/21/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/04/2005
- Copy of the International Search Report filed on 05/04/2005
- Copy of Annexes to the IPER filed on 05/04/2005
- Preliminary Amendments filed on 05/04/2005
- Information Disclosure Statements filed on 05/04/2005
- Biochemical Sequence Diskette filed on 05/04/2005
- Biochemical Sequence Listing filed on 05/04/2005
- Small Entity Statement filed on 05/04/2005
- Request for Immediate Examination filed on 05/04/2005
- U.S. Basic National Fees filed on 05/04/2005
- Substitute Specification filed on 05/04/2005
- Priority Documents filed on 05/04/2005
- Power of Attorney filed on 05/04/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$850 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does

not comply with 37 CFR 1.497(a) and (b) in that it:

- no response to missing part 9/28/2005
- Surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 was not received.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$980 for a Large Entity:

- \$130 Surcharge

Additionally the following defects have been observed:

- Annexes have not been entered because Not page for page.
- Total additional claim fee(s) for this application is \$ 850
 - \$400 for 2 independent claims over 3.
 - \$450 for 9 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,726	PCT/AU03/01451	25082-001